hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Stacy & Jenkins, Paralegal

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

**BRAD DAVIS** 

: Art Unit:

Serial No.:

09/938,907

: Examiner: Unknown

Filed:

August 8, 2001

For:

METHOD AND APPARATUS FOR

ASSIGNING DATA RATE IN A

**MULTICHANNEL** 

**COMMUNICATION SYSTEM** 

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of this application and prior to the mailing date of a first Office action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Dated: <u>/0/29/01</u>

Kndrea L. Mays, Reg. No. 43,721

Direct Line (505) 998-6132

Enclosures: PTO Form 1449;

Copies of four (4) References

Peacock, Myers & Adams **Attorneys for Applicants** P.O. Box 26927 Albuquerque, NM 87125-6927 (505) 998-1500

Customer No. 005179

Application Number Docket Number (Optional) 09/938,907 IP1-0101 MATION DISCLOSURE CHATION Applicant(s) **BRAD DAVIS** (Use several sheets if necessary) Filing Date Group Art Unit August 24, 2001 U.S. PATENT DOCUMENTS FILING DATE EXAMINER SUBCLASS CLASS DOCUMENT NUMBER DATE NAME REF INITIAL IF APPROPRIATE 370 18 02/13/90 GILHOUSEN et al. 4,901,307 GILHOUSEN et al. 375 1 04/07/92 5,103,459 455 69 09/22/98 GILHOUSEN et al. 5,812,938 200 **ODENWALDER** 375 07/20/99 5,926,500 RECEIVED DEC 1 2 2001 Technology Center 2600 FOREIGN PATENT DOCUMENTS Translation COUNTRY CLASS SUBCLASS REF DOCUMENT NUMBER DATE YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 (also form PTO-1449)

P09A/REV04

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